Stability of widely tuneable, continuous wave external-cavity quantum cascade laser for absorption spectroscopy

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A R T I C L E   I N F O

Article history:
Received 7 January 2010
Available online xxxx

Keywords:
External-cavity quantum cascade laser
Mid-infrared absorption spectroscopy
Wavelength stability
Nitric oxide
Atmospheric pressure packed-bed plasma reactor
Allan variance plot

A B S T R A C T

The performance of widely tuneable, continuous wave (cw) external-cavity quantum cascade laser (EC-QCL) has been evaluated for direct absorption spectroscopy measurements of nitric oxide (NO) in the wavenumber range 1872–1958 cm⁻¹ and with a 13.5 cm long optical cell. In order to reduce the absorption measurement errors due to the large variations of laser intensity, normalisation with a reference channel was used. Wavelength stability within the scans was analysed using the Allan plot technique for the reduced wavenumber range of 1892.4–1914.5 cm⁻¹. The Allan variances of the NO absorption peak centres and areas were observed to increase with successive scan averaging for all absorption peaks across the wavelength scan, thus revealing short- and long-term drifts of the cw EC-QCL wavelength between successive scans. As an example application, the cw EC-QCL was used for NO measurements in the exhaust of an atmospheric pressure packed-bed plasma reactor applied to the decomposition of dichloromethane in waste gas streams. Etalon noise was reduced by subtracting a reference spectrum recorded when the plasma was off. The NO limit of detection (SNR = 1) was estimated to be ~2 ppm at atmospheric pressure in a 20.5 cm long optical cell with a double pass and a single 7 s scan over 1892.4–1914.5 cm⁻¹.

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1. Introduction

Tunable mid-infrared (mid-IR) single-frequency continuous wave (cw) external-cavity quantum cascade lasers (EC-QCL) have recently been introduced [1–3]. These can have wide wavelength tuning ranges of up to 182 cm⁻¹ [1], narrow laser bandwidths of ~10–20 MHz [4,5] and cw output powers of up to 300 mW [6]. By comparison the typical tuning range of a cw distributed feedback (DFB) quantum cascade laser does not exceed 1–2 cm⁻¹ by means of a current sweep and 10–12 cm⁻¹ by laser temperature tuning from −25°C to +50°C. The application of a cw EC-QCL has been demonstrated in direct absorption spectroscopy [1]. Faraday rotation spectroscopy of nitric oxide [7], quartz-enhanced photoacoustic absorption spectroscopy (QEPAS) [8], wavelength modulation spectroscopy of NO [1] and NO₂ [9], as well as in heterodyne detection [3,10]. Most of the work cited above has focused on measurements using in a narrow mode-hop-free scan of a few wavenumbers (2–4 cm⁻¹). However, tunable broadband scanning mid-IR lasers are of interest for sensitive detection and measurements of multiple narrowband and broadband gaseous species [11,12] absorbing in a wide mid-IR spectral range up to a hundreds wavenumbers. The wide tuneability also enables their use in condensed phase measurements [13]. Currently Fourier transform infrared (FTIR) absorption spectrometers are primarily used for this, but are hampered by low intensity infrared light sources. The high power of the cw EC-QCL laser combined with a wide wavelength scan may allow faster temporal response and higher absorption sensitivity whilst using advanced laser based techniques such as wavelength/frequency modulation spectroscopy [14,15] as well as with high-finesse cavity-enhanced absorption spectroscopy [8,16]. From the limited number of publications it is unclear how well these novel cw EC-QCLs are suitable for such applications. For instance, high laser intensity variations were recently reported [5] and these variations within the broadband wavelength scan may obscure detection of small absorptions due to narrowband and broadband absorbers. A common approach to reducing the effects of laser intensity noise is to use balanced detection [17]. This is achieved by simultaneous recording of the intensities of a sample beam passed through an optical cell with an absorber and a reference beam produced from a beam splitter and passed outside the optical cell. Rationing the two beams produces a normalised spectrum with flatter baseline and reduced laser intensity noise. Unfortunately, an optical cell may also induce accidental etalon or interference fringe noise revealing in baseline variations of beam intensity drifting in time and during

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1350-4495/$ – see front matter © 2010 Published by Elsevier B.V.
doi:10.1016/j.infrared.2010.07.004

wavelength tuning. Reduction, or full elimination, of etalons in the absorbance baseline can be achieved in principle by rationing a background spectrum produced by filing the cell with a buffer or scrubbed gas. However this approach relies on the assumption that changes between the sample and background spectra occur on longer timescales than the time to measure both spectra. This is often not the case and variations due to etalon effects, laser wavelength tuning linearity and the laser line width can vary on timescales sufficiently short to cause problems of measurement precision and accuracy. In order to obtain the best detection sensitivity one needs to select an appropriate approach for measurements and this depends upon the performance of the laser source.

Results obtained by direct absorption spectroscopy of nitric oxide (NO) are discussed for measurements in the exhaust of an atmospheric pressure packed-bed plasma reactor used for decomposition of dichloromethane (DCM) in waste gas streams. Non-thermal plasmas have been shown to have great potential for the decomposition of toxic volatile organic compounds (VOCs). An atmospheric pressure dielectric packed-bed plasma reactor can be used to decompose dichloromethane in a flowing gas mixture of nitrogen and oxygen [18]. Monitoring a wide variety of species such as NO, NO2, CO2, CO, COCl2, Cl2, HCl at the reactor exhaust after decomposition of DCM is typically based upon FTIR spectroscopy with a wavenumber range from 500–4000 cm⁻¹. Widely tunable cw EC-QCL based sources have the potential to provide multiple species detection with higher absorption sensitivity and faster time response in such studies.

In this paper the performance of a commercial cw EC-QCL for short-term and long-term wavelength stabilities has been evaluated using the Allan variance technique [19].

2. Experimental

The configuration of the mid-infrared laser spectrometer is shown in Fig. 1. A water-cooled cw EC-QCL head (model 21052-MHF, Daylight Solutions) and a tuneable QCL controller (model 1001TLC, Daylight Solutions) were used as a tuneable single-frequency source of laser radiation with a tuning range of 1872–1875 MHzF, Daylight Solutions) and a tuneable QCL controller (model shown in 2. Experimental

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2. Experimental

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Fig. 1. Experimental setup of the mid-IR laser absorption spectrometer. cw EC-QCL is the continuous wave external-cavity quantum cascade laser, PD1 is the reference photodetector and PD2 is the sample photodetector.

Typical output traces for the sample and reference beams are shown in Fig. 2. These were recorded for a NO flow (/[NO] = 2700 ppm in nitrogen, flow rate of 14 cm³/min, pressure of 760 Torr, temperature of 296 K). The absorptions in the reference beam are due to atmospheric water vapour in the laboratory atmosphere. Fig. 3a shows the resulting absorption spectrum between 1875 cm⁻¹ and 1950 cm⁻¹ calculated after normalisation of the sample trace by the reference trace at a time of 2.5 s. Fig. 3b shows the corresponding simulated absorption spectrum of NO (2700 ppm, a path length of 13.5 cm) and H₂O (0.96%, path length 26.5 cm) using the HITRAN database [20]. The absorption peak centre positions in the experimental and simulated spectra were extracted by use of a Matlab program. In total, 55 experimental points for peak centres were plotted against the simulated peak centre positions and these were fit by a straight line \( A_1 + B_1 X \), where \( A_1 = -588 \) s and \( B_1 = 0.316 \) s/cm⁻¹ (see Fig. 4). The correlation coefficient was determined to be 0.99988, but the standard deviation of the experimental data from the fit was 0.1 s, which was equivalent to a wavenumber standard deviation of ~0.32 cm⁻¹. It is worth noting that the wavelength fit varies for successive scans. Such variations in the wavelength of the measured line centres demonstrate the necessity of accurate wavelength calibration for each wavelength scan.

2.2. Wavelength stability during successive scans

At room temperature, nitric oxide has two strong NO absorption doublets R(6.5e) and R(6.5f) at 1900.071 cm⁻¹ and 1900.081 cm⁻¹, respectively, and an unresolved multiplet at ~1900.5175 cm⁻¹ and so, depending on interfering species, this region is suitable for sensitive NO measurements. In order to estimate the wavelength sta-
saved for further analysis. As can be seen from

The scan duration was 12 s and a total of 200 successive scans were
by the controller whilst a flow of NO in nitrogen passed through
the cell at a rate of 14 cm$^3$ min$^{-1}$. The raw traces of the synchroni-
sation signal, the sample and reference traces are shown in Fig. 5.
The scan duration was 12 s and a total of 200 successive scans were
saved for further analysis. As can be seen from Fig. 5, tuning from
1892.4 cm$^{-1}$ up to 1914.5 cm$^{-1}$ takes around 7 s, whereas rever-
sing the grating to the initial position (see a reverse point on the
Fig. 5) resulted in a return scan of duration around 5 s. In Fig. 5,
only the first 10 s of each scan were recorded as the DAQ board
was set to sample and record only 100,000 points after the level
of the synchronisation signal from the EC-QCL rised above 2.5 V.
The absorption peak centre positions in the experimental and
simulated spectra were extracted as described above. In total, four-
teen experimental points for the peak centres were plotted against
the simulated peak centre positions and these were fitted by a
straight line $A_2 + B_2X$, where $A_2 = -607$ s and $B_2 = 0.32$ s/cm$^{-1}$.
The correlation coefficient was determined to be 0.99996, but the
standard deviation of the experimental data from the fit was
0.019 s, which was equivalent to a wavenumber standard devia-
tion of 0.06 cm$^{-1}$ within the scan. The value of 0.06 cm$^{-1}$ is by a
factor of 5 less than the standard deviation of $0.32$ cm$^{-1}$ observed
for the wide 1875–1950 cm$^{-1}$ scan.

A typical absorption spectrum of the narrower spectral region is
shown in Fig. 6a, and a fit to the 5th and 6th absorption lineshapes
with the fit residual is shown in Fig. 6b. In order to improve the
precision of the lineshape fitting of the 5th and 6th peaks in
Fig. 6b, the wavenumber conversion was carried out using the
experimental peak positions of the 3rd, 4th, 5th, 6th, 7th and 8th
lines. After this procedure, the two absorption peaks at
1900.1 cm$^{-1}$ and 1900.6 cm$^{-1}$ were fitted simultaneously by two
Lorentzian lineshapes, whereas the etalon variation of the baseline
was fitted by a product of a quadratic function and a sine function.
The etalon variations of the baseline had a period of
0.016 cm$^{-1}$ and were assumed to be due to the etalons formed by the KBr flat
windows on the sample cell. Rapid variations in the residual are
clearly observed around the absorption peaks. These had an
approximate spacing of $\sim 0.016$ cm$^{-1}$. This effect was not observed
in a fit to the same absorption line under similar experimental con-
ditions around 1900 cm$^{-1}$ using a tuneable single-frequency cw
DFB QCL [21,22]. It is thought that these variations in the fit residual
observed with the cw EC-QCL could arise from the transient
frequency jitter during wavelength tuning over the absorption
lines. The frequency jitter is present across the whole scan but is
only apparent for the stronger absorption lines where there is a lar-
gers of the intensity of the transmitted signal.

The peak centre positions of all fourteen absorption peaks were
extracted for all 200 spectra recorded with 2700 ppm NO in nitrogen
with a flow rate of 14 cm$^3$ min$^{-1}$, a pressure of 760 Torr and a
gas flow temperature of 296 K. Fig. 7 shows the standard deviations
of the peak centre positions in seconds and also in cm$^{-1}$ after divid-
ing the standard deviations by a factor of 0.32 s/cm$^{-1}$ (an average
for the $B_2$ coefficient for 200 scans). Larger standard deviations of the
peak positions were observed towards the end of the scan.

Short-term and long-term stabilities of the cw EC-QCL wave-
lenghth within the scans were tested using the Allan variance tech-

Fig. 3. Experimental (a) and simulated (b) absorption spectra for an NO concen-
tration of 2700 ppm in nitrogen and ambient H$_2$O concentration of 0.96% at a
pressure of 760 Torr, a temperature of 296 K and a cell optical path length of
13.5 cm.

Fig. 4. A linear fit of the experimental absorption peak positions against those from
the HITRAN simulated NO and H$_2$O absorption spectra. Shown also is the residual
between the experimental data and the fit.

Fig. 5. Raw traces of synchronisation signal (black line 1), reference (red line 2) and
sample (green line 3) outputs recorded by an 18 bit data acquisition board for a
2700 ppm NO in nitrogen flow through a 13.5 cm long cell at pressure of 760 Torr
and temperature of 296 K. Traces between 10 and 12 s were not sampled and
recorded. (For interpretation of the references to colour in this figure legend, the
reader is referred to the web version of this article.)
nique [19]. The Allan variance can be described as a superposition of a simple power law drift noise with an arbitrary spectral exponent \( \alpha \) and of a “white noise” \( (\alpha = 0) \) component for an integration time \( T \) [23,24]:

\[
\sigma^2(T) = a/T + bT^{\alpha-1}
\]  

(1)

In most practical cases it is very useful to refer to the particular integration time corresponding to the minimum in the Allan variance plot [25,26]. This minimum describes the turn-over point where the “white” noise with a slope of “-1” in the logarithmic plot becomes dominated by the additional and undesired drift noise. Above the minimum time the root-mean-square of the measurements becomes much larger than is anticipated by the “white noise” equation alone. The Allan variance analysis was applied separately to the peak centre positions and also to the integrated absorbance areas. The variances were calculated for different numbers of successive scans \( N \) of the 200 recorded spectra using a program written in MathCad following an expression described elsewhere [14,26] for the strongest NO absorption peaks.

The Allan variances of the peak centre positions are shown in Fig. 8. It is instructive to consider three separate regions of the Allan variance plots. The first region of positive gradient (such as \( 1 < N < 6 \)), a second region where the variance decreases with increased averaging (such as \( 7 < N < 13 \)) and finally a region where the variance increases again with averaging (such as \( N \geq 14 \)). A linear fit to the Allan variance in each of the three regions was performed and the slopes \( \beta \) are summarised in Table 1 for several absorption peaks. In the first region an increase in the Allan variance occurred for all the peaks across the spectrum slopes between 1.1 and 1.6. This can be explained by the dominance of short-term instrumental drifts of the actual EC-QCL laser wavelengths within each of the successive scans and giving a noise power spectrum of \( 1/f^{1.1–1.6} \). In the second region, the Allan variances were all observed to decrease to a minimum at around 12–14 successive scan averages or remain flat. In the third region the Allan variance was observed to increase again with successive scans in the average indicating a contribution from long-term wavelength drifts within the scan. The slope tends to increase across the scan so that peaks at the end of the scan (11 and 13) have a much higher increase in variance than those at the beginning of the scan. These longer-term instabilities of the EC-QCL wavelengths between the scans may limit the application of standard techniques used in absorption spectroscopy for improving the absorption sensitivity such as sweep averaging or subtraction of sample and “zero air” absorption spectra.

A similar analysis was carried out for the integrated absorbance areas rather than the peak positions. In this case it was applied only to the two strongest NO absorption lines in the region (the 3rd and 5th absorption peaks) for the same successively recorded 200 spectra. Fig. 9 shows the Allan variance plot for the two peak

\begin{align*}
\text{Fig. 6.} & \quad \text{Processed absorption spectra of NO (black line) and two Lorentzian lineshape fits to the experimental 5th and 6th absorption peaks for wide wavenumber scanning range (a) and selected and zoomed wavenumber range (b).} \\
\text{Fig. 7.} & \quad \text{Standard deviations of the 1–14th NO absorbance peak positions derived from 200 successive spectra with a 12 s individual time duration recorded at a 2700 ppm NO in nitrogen with a flow rate of 14 cm}^3/\text{min at the pressure of 760 Torr and the gas flow temperature of 296 K.} \\
\text{Fig. 8.} & \quad \text{The Allan variances of the absorption peak positions across the scan versus the number of scans included in each average \( N \). Also shown are linear fits (dashed lines) to the three regions of the Allan variance plot for the 5th NO R(6.5) absorption peak position at 2.465 s(1900.175 cm}^{-1}.)
\end{align*}
areas. In the two plots a minimum in the variance cannot be discerned. The increase of the Allan variance indicates that the short- and long-term drifts of the laser wavelength, the laser intensity noise (due to etalon effects) and the transient laser frequency jitter appear to be the main contributors towards the errors in the NO integrated absorbance peak area measurements using broad wavelength scans of the cw EC-QCL. These results show that no amount of averaging will improve the error in the determination of the peak integrated absorbance.

2.3. NO measurements at the output of a plasma reactor

In order to evaluate the potential of the cw EC-QCL for studies of atmospheric pressure plasma reactors a longer optical cell with a base length of 20.5 cm and a double optical pass through the cell was arranged giving a total path length of 41 cm. Further details of the plasma reactor are given in reference [22]. The exhaust of the plasma reactor was first connected to a multipass cell (2.37 m) of an FTIR spectrometer (Bruker Equinox 55) by a 2 m long tube. The output of this cell was then connected to the EC-QCL cell by a 2.4 m tube. Thus, the plasma reactor was first connected to a FTIR optical cell (total path length of 41 cm) which was connected via an FTIR optical cell to an EC-QCL cell by a 2.4 m tube. Therefore, the plasma reactor, the FTIR cell and the cell used in the measurements with the EC-QCL were connected in series. Fig. 10 shows absorption spectra obtained by the EC-QCL spectrometer with the plasma switched on and off. The difference of the two spectra recorded is also shown in the Fig. 10 as a blue line shifted down for clarity. For the spectra recorded with the plasma on the oxygen concentration was 5%, the dichloromethane (DCM) concentration was 500 ppm at the reactor inlet, a nitrogen buffer gas with total flow rate of 1 L/min and a pressure of 760 Torr. For the plasma “off” condition (red line), 5% O\textsubscript{2} was added to the inlet gas stream whereas for the plasma “off” condition (black line) the O\textsubscript{2} was removed. The spectra were recorded in an optical cell (total path length of 41 cm) which was connected via an FTIR optical cell to the exhaust of the plasma reactor. For clarity the subtracted NO absorption spectrum (blue line) is shifted down by 0.2. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Before subtraction the spectra were co-aligned along the wave-number axis around the H\textsubscript{2}O absorption peak at 1895.198 cm\textsuperscript{-1} (\(t = 0.88 \text{s}\)). This subtraction of the spectra was effective in the reduction of the etalon baseline noise only for the first half of the scans, whilst variations of the wavelength in the second part of the scans revealed themselves in the higher level of the etalon noise and in the derivative shape of the baseline around the strong absorption peaks. To obtain a better accuracy of the NO concentration measurements, the NO absorbance peaks at 1893.869, 1894.151, 1896.99 and 1897.353 cm\textsuperscript{-1} were used for calculating the average NO concentrations at different oxygen concentrations. A typical processed NO absorption spectrum is shown in Fig. 11 at an oxygen concentration of 2%, DCM concentration of 500 ppm and nitrogen buffer gas at a flow rate of 1 L/min. The nitrogen oxide limit of detection (LOD) at SNR = 1 was estimated to be 2 ppm at atmospheric pressure and a path length of 41 cm. Typical baseline standard deviations of around 0.001 were observed within these experiments at pressure of 760 Torr and temperature of 296 K.

Fig. 12 shows the variation in NO concentrations in the flow from the dielectric packed-bed plasma reactor at different oxygen concentrations in nitrogen and for an initial input of 500 ppm DCM. Previous measurements indicated that the deposited electri-

### Table 1

<table>
<thead>
<tr>
<th>NO peak/number of scan averages</th>
<th>(1 \leq N \leq 6)</th>
<th>(7 \leq N \leq 13)</th>
<th>(N \geq 14)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Peak 1 at 0.630 s ((1893.784 \text{ cm}^{-1}))</td>
<td>1.14(0.07)</td>
<td>0.0(1.1)</td>
<td>0.1(0.1)</td>
</tr>
<tr>
<td>Peak 3 at 1.460 s ((1897.048 \text{ cm}^{-1}))</td>
<td>1.28(0.07)</td>
<td>-0.65(0.20)</td>
<td>1.15(0.05)</td>
</tr>
<tr>
<td>Peak 5 at 2.465 s ((1900.175 \text{ cm}^{-1}))</td>
<td>1.44(0.06)</td>
<td>-1.8(0.2)</td>
<td>1.45(0.04)</td>
</tr>
<tr>
<td>Peak 7 at 3.441 s ((1903.260 \text{ cm}^{-1}))</td>
<td>1.40(0.07)</td>
<td>-0.00(0.14)</td>
<td>1.42(0.03)</td>
</tr>
<tr>
<td>Peak 9 at 4.418 s ((1906.344 \text{ cm}^{-1}))</td>
<td>1.41(0.06)</td>
<td>-0.38(0.07)</td>
<td>1.94(0.04)</td>
</tr>
<tr>
<td>Peak 11 at 5.366 s ((1909.340 \text{ cm}^{-1}))</td>
<td>1.60(0.14)</td>
<td>-0.1(0.3)</td>
<td>2.17(0.04)</td>
</tr>
<tr>
<td>Peak 13 at 6.315 s ((1912.335 \text{ cm}^{-1}))</td>
<td>1.47(0.07)</td>
<td>0.1(0.17)</td>
<td>2.34(0.03)</td>
</tr>
</tbody>
</table>


The performance of a tunable cw EC-QCL was evaluated for direct absorption spectroscopy of nitric oxide. In order to reduce the effects of the large intensity variations (~15%) in the absorption measurements, normalisation of the sample trace to the reference trace was applied. From a linear fit of peak positions to HITRAN measurements, normalisation of the sample trace to the reference length and a cw DFB QCL with a cavity-enhanced technique (high-sensitivity cavity with an effective path length of 700 m) [28] and wavelength modulation spectroscopy (a 76 m long multiple-pass optical cell) [30], respectively.

3. Discussion and conclusions

The performance of a tunable cw EC-QCL was evaluated for direct absorption spectroscopy of nitric oxide. In order to reduce the effects of the large intensity variations (~15%) in the absorption measurements, normalisation of the sample trace to the reference trace was applied. From a linear fit of peak positions to HITRAN peak positions a typical standard deviation of 0.06 cm⁻¹ was obtained for a narrow (1892.4–1914.5 cm⁻¹) single scan of the cw EC-QCL whereas this increased to 0.32 cm⁻¹ for a wider (1875–1950 cm⁻¹) single scan. Typical baseline standard deviations of 0.0006–0.0015 were observed in the NO absorption spectra recorded within all experiments. Rapid larger variations in the residual between experimental data and a fit were clearly observed around the strong absorption peaks and were due to the transient frequency jitter within the wavelength tuning of the cw EC-QCL. This could be due to mechanical effects in the grating tuning mechanism.

For the narrower wavenumber tuning range of 1892.4–1914.5 cm⁻¹ Allan variance analysis was applied to test the stability of wavelength tuning within successive scans. Short-term and long-term drifts were observed for all wavelengths during the scans, and this could limit further improvement of absorption sensitivity by the use of successive scan averaging of the low intensity beam at the output of long path length multiple-pass optical cells or high reflectivity mirror cavities in cavity-enhanced absorption spectroscopy. As an application the EC-QCL was applied to NO measurements produced in the decomposition of dichloromethane in an atmospheric pressure packed-bed plasma reactor. In order to reduce the effect of etalon noise, subtraction of a spectrum recorded with the plasma reactor being switched off was carried out. The nitric oxide limit of detection of about 2 ppm (SNR = 1) was routinely achieved with a 41 cm path length at atmospheric pressure. This was similar to that obtained with a cw DFB QCL laser. This LOD can be further improved with the high power of the EC-QCL using cavity-enhanced [27,28] and multiple-pass based techniques [29–31] for longer optical path length and single wavelength scan. For gas phase measurements, a wavelength calibration should be carried out simultaneously for each scan using a Fabry–Perot etalon and reference gas spectrum.

Acknowledgments

We thank Laser2000 UK for the loan of the EC-QCL. We would like to thank Ms Zaenab Abd Allah for help in use of a packed-bed plasma reactor. This work was conducted within the EPSRC project EP/G022933/1.

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